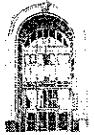


TRANSFER ON DEATH REGISTRATION

Sweney Cartwright & Co.

INVESTMENT SECURITIES
17 South High Street, Suite 300
Columbus, Ohio 43215
Ph 614-228-5391



REQUEST & AUTHORIZATION FORM

Client Name (Last, First, M.I.)	State of Residence	Client Account Number
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By signing below, I request that my account with Sweney Cartwright & Co., identified above, be registered in "transfer on death" form and designate the following person as to whom the account shall pass after I am deceased:

Beneficiary Name	Beneficiary Date of Birth
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Please Note: If the beneficiary is a minor, you must attach a notarized letter designating the minor's custodian. Additionally, certain instances may require the appointment of a guardian or conservator as a condition of any distribution.

By signing below, I also make the following warranties, representations and agreements:

1. I revoke any prior beneficiary designation for the account listed above.
2. Sweney Cartwright & Co. is not required to take action on the account listed above until such documents, such as a certified copy of my death certificate, as are required to establish that I am deceased have been received.
3. Upon receipt of said documents, Sweney Cartwright & Co. will obtain the necessary paperwork, including but not limited to a New Account Application, to establish an account for the beneficiary and transfer ownership.
4. Sweney Cartwright & Co. is not responsible for determining the tax consequences of the decision to register this investment as requested above.
5. If I am married, my spouse's waiver has been executed below.
6. Sweney Cartwright & Co. has not provided any legal advice to me. I agree to refer any questions regarding the enforceability of this form of registration in my state and its effect on my estate and tax planning to my legal counsel.
7. I agree to hold harmless, indemnify, and defend Sweney Cartwright & Co. from any claim, loss or liability resulting from (a) any breach of any warranty or representation in this Agreement, and (b) any action Sweney Cartwright & Co. takes in connection with the registration, any reregistration in the name of the beneficiary(s) and from any distribution thereafter to the beneficiary(s) or for the benefit of the beneficiary(s), made as requested or authorized under this agreement.

SPOUSAL WAIVER: By signing below, I consent to the terms and conditions of this agreement.

Client Signature

Date

Signature of Client's Spouse

Date