



Sweeney Cartwright & Co.
Investment Securities Since 1933

Order Routing

Sweeney Cartwright & Co. Customer Orders Routing Report

Sweeney Cartwright & Co. has prepared this report pursuant to a U.S. Securities and Exchange Commission Rule (Exchange Act Rule 11Ac1-6), which requires all brokerage firms to make publicly available quarterly reports on their order routing practices. This rule was adopted to make visible the execution quality of the securities markets and order routing performance.

The report provides information on the routing of non-directed orders. Non-directed orders can be described as any order that the customer has not specifically instructed to be routed to a particular venue for execution.

This report is divided into three sections, one for securities listed on the New York Stock Exchange, one for securities listed on the NASDAQ National Market System, and one for securities listed on the Chicago Stock Exchange and/or other regional exchanges.

Sweeney Cartwright & Co. routes all exchange-listed securities to Cheevers & Co., a member of the Chicago Stock Exchange. Over the counter securities are routed to NASDAQ by our licensed Equity Trader Limited Representatives (Series 55).

For Quarter ended December 31, 2011:

Security Type	Total Orders	Non-Directed Orders	Non-Directed Market Orders		Non-Directed Limit Orders	
NYSE*	520	71%	493	70%	37	80%
Chicago/Other*	111	15%	111	16%	0	0%
NASDAQ	<u>106</u>	14%	<u>97</u>	14%	<u>9</u>	20%
TOTALS	747		701		46	

* Trades routed through Cheevers & Co.